

Audit & Control...

The Keys to Compliance!

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The Keys to Compliance

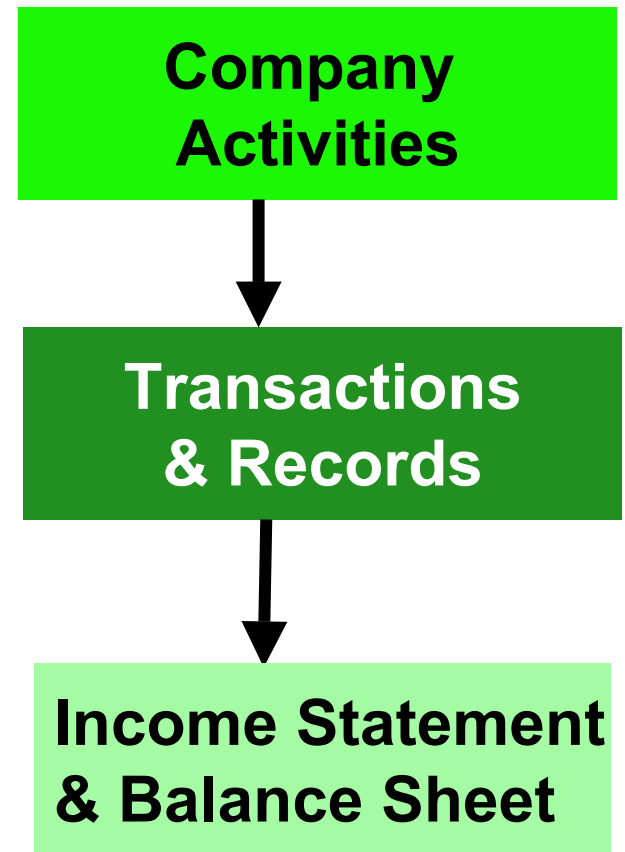
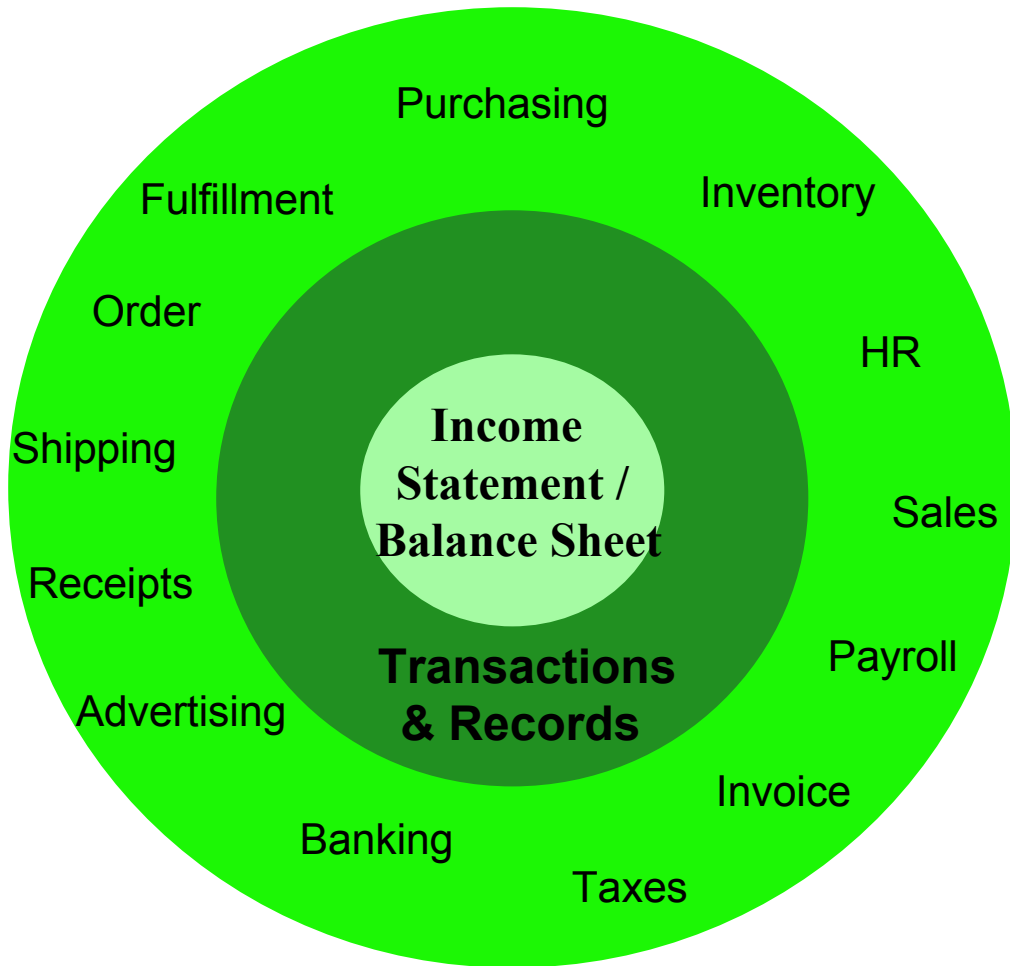
- Overview of Compliance Mandates...
 - Financial / Privacy Issues
- Stakeholders and Key Risk Factors...
- Impact on Organization...
- Addressing Compliance...
 - Various Industries
- How to Meet Compliance Mandates!

Sarbanes-Oxley

INTENT:

*To fairly & accurately report
the financial condition of the
company.*

Sarbanes-Oxley

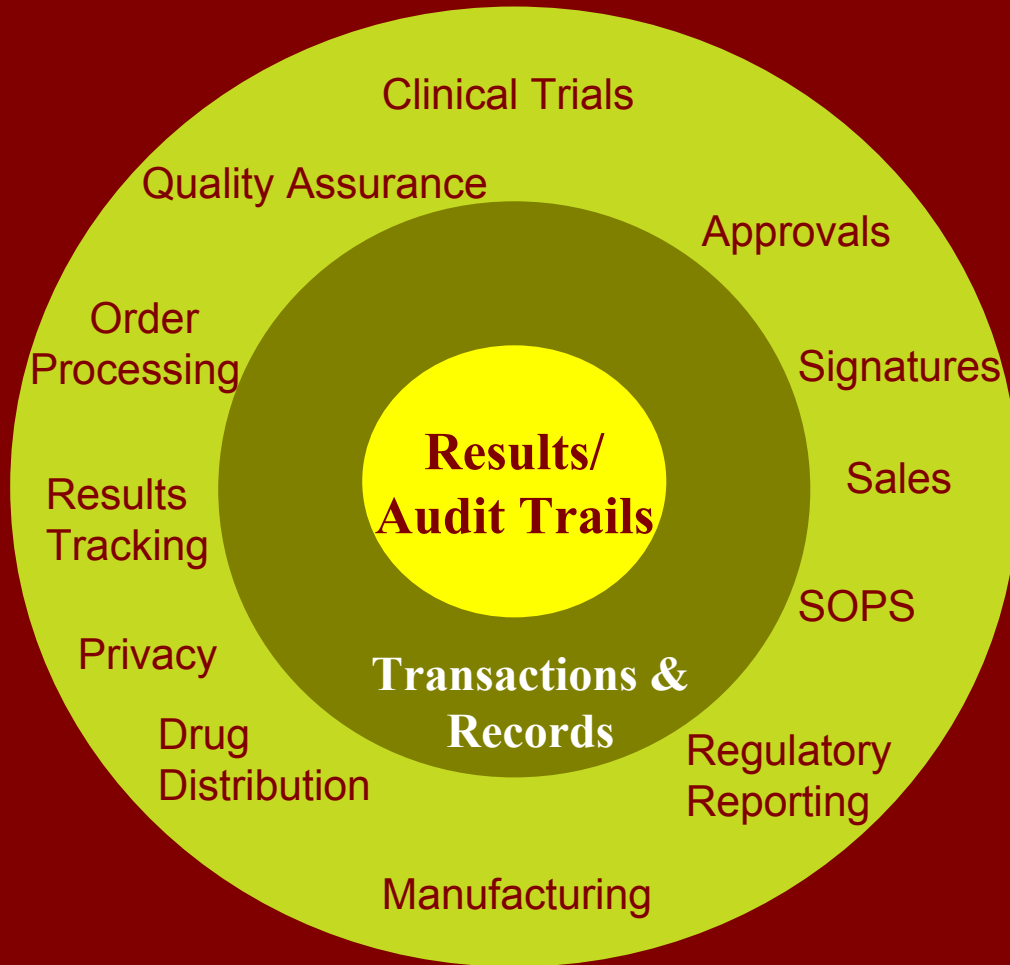


21 CFR Part 11

INTENT:

To implement correct and consistent controls that assure public safety.

21 CFR Part 11



**Pharmaceutical
Activities**



**Transactions
& Records**



**Results &
Audit Trails**

Technology's Compliance Role

INTENT:

To ensure all IT systems that support client transactions and records are not compromised.

Technology's Compliance Role



**Monitor Activities
& Processes**



**Transactions
& Records**



**Results &
Audit Trails**

“Compliant Information Architecture?”

What Is It?

What Does It Do?

How Does It Do It?

Who Needs It?

Why Should I Deploy It?

Next Steps.....

“Compliant Information Architecture?”

What is it!

A methodology and framework that enables technology to deliver an attestable flow of data from input to output!

CIA

What Does It Do?

- Captures
- Stores
- Authenticates
- Attests
- Reports

Information flow through an organization!

How Does It Do It?

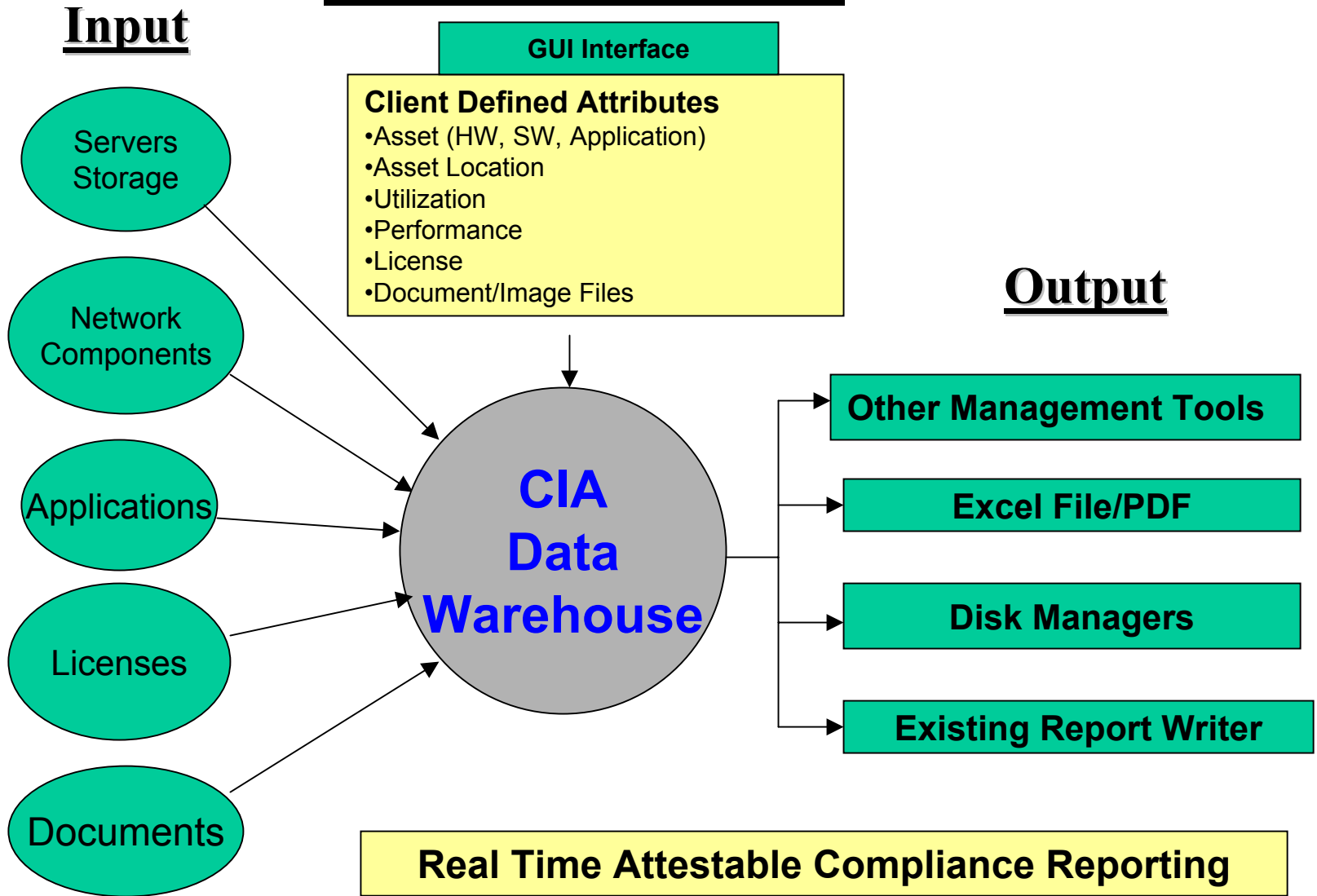
Using A Specialized Toolset that
Combines

- ▶▶ A Data-Warehouse with...

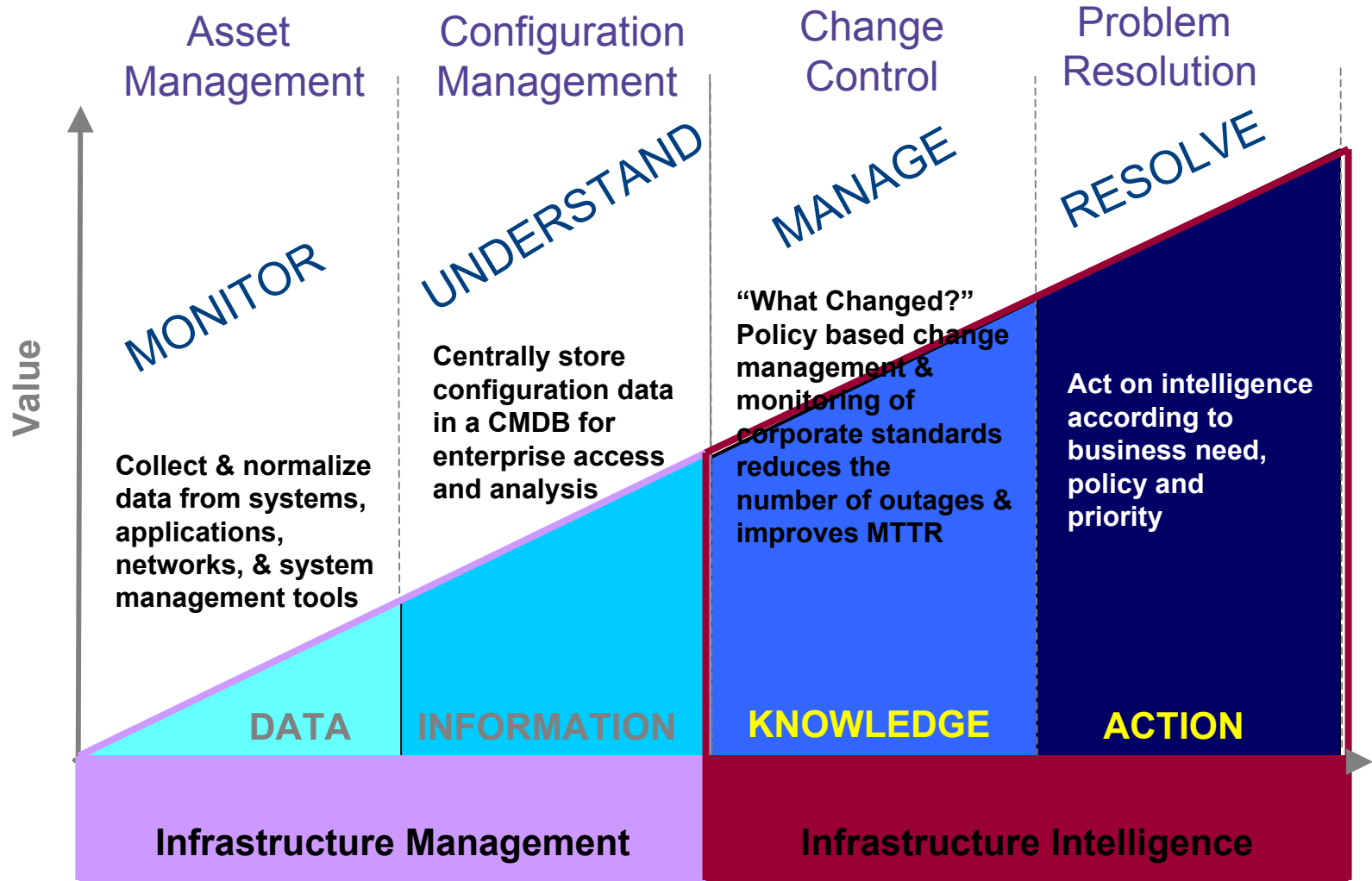
- ▶▶ A Powerful Telemetry Engine and

- ▶▶ A GUI interface that enables the
generation of accurate compliance
reporting.....

Architecture



Infrastructure Analysis Maturity Model



Why Deploy It?

To Help Speed Root Cause Analysis

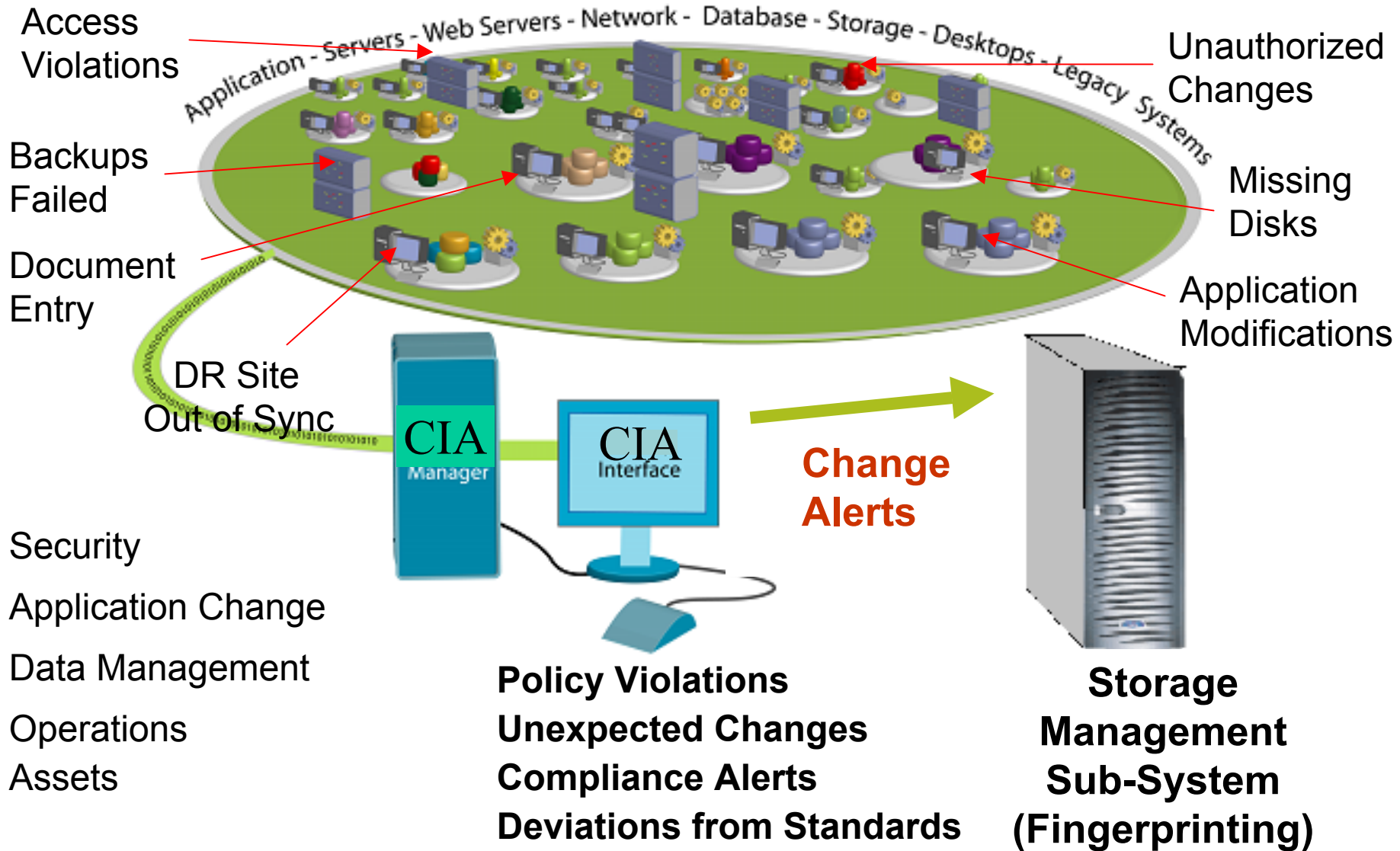
By Capturing.....

- Configuration Data; Document Images
 - Snapshots of proven baselines
 - Monitor variations
 - Collect, log, alert, and forward changes

By Answering.....

- What changed?
- Which one of these is not like the other?

Complements Existing IT Operations



Value

“Providing Real-Time, Attestable IT Infrastructure Compliance Information”

- **Identifies, Monitors and Records:**
 - Assets & Locations
 - Configurations (patch levels)
 - Utilization & Performance
 - Changes from Baseline
 - What Changed?
- **Notifies (Alerts):**
 - Changes from mandated standard (Golden Master)
 - Customer designated “material breaches:”
 - Ex. hard drive loss, disaster recovery site incompatibilities
- **Output:**
 - Compliance Reports
 - Can be ported to existing management systems
 - Provided in Excel or Crystal, or any ODBC based report
- GUI Interface provides real time interactive query capability

**Helps Speed Root Cause
Analysis and
Configuration Drift**

“CIA”

Creating Information Alliances

- ***Client***
 - IT Infrastructure
- ***Verifichi***
 - ITVerify Compliance Monitoring Software Solution
- ***EMC***
 - Storage & Storage Management Software
 - Disaster Recovery Solutions
- ***G Force Technologies***
 - Implementation, Upgrade and Add-On Services
 - Consulting Services
- ***Unisys***
 - Open Systems

CIA Summary

- Simplifies the Capture of Information
- Monitors Information More Effectively
- Provides Audit Capability
- Reduces Cost of Managing Infrastructure
- Ensures Information is Attestable
- Minimizes Legality Issues
- Maximizes Effort to Support Compliance

Next Steps

1 Week Professional Services Evaluation

- Asset Capture/Data Gathering
- Evaluation & Analysis
- Review Findings/ Create Reports
- Present Results

Cost = \$15,000

Up to 100 Nodes

Next Steps

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to discuss requirements

“CIA”

Compliant Information Architecture

Questions?

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